

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS *****

	1	2	3	4	5
	FY13 1st Quarter	FY13 2nd Quarter	FY13 3rd Quarter	FY13 4th Quarter	FY13 Year-to-Date
Investigations Not Resulting in Disciplinary Action	1,289 (78%)				1,289 (78%)
Investigate + Dismissal (Warning) Letter	277				277
Investigate + Cpt. Closed with Verbal Warning	42				42
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	543				543
Investigate + Lost Jurisdiction (regist expired)	58				58
Inspections	37				37
Inspection + Warning Notice or Dismissal Ltr.	17				17
Application Withdrawn	63				63
Other *	252				252
Investigations Resulting in Disc. Action	251 (15%)				251 (15%)
Agreed Board Order	195				195
Board Order	26				26
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0				0
PNL + Application Withdrawn (with or without Informal Conference)	3				3
PNL + Informal Conference + Dismissal Letter	9				9
PNL + Informal Conference + Case Dismissed	18				18
PNL / Case Dismissed	0				0
Temp. Suspension Hearing / Case Dismissed	0				0
Referrals To Other Agencies	22 (1%)				22 (1%)
No Action **	91 (6%)				91 (6%)
TOTAL	1,653 (100%)				1,653 (100%)

* Represents miscellaneous actions, such as: complainant has withdrawn complaint; complainant does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

*** TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.

	1	2	3	4	5
	FY13 1st Quarter	FY13 2nd Quarter	FY13 3rd Quarter	FY13 4th Quarter	FY13 Year-to-Date
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	221 (13%)				221 (13%)
Complaints Closed with Actions Other than Disciplinary Action	1,432 (87%)				1,432 (87%)
TOTAL	1,653 (100%)				1,653 (100%)

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS**

	1	2	3	4
	FY12 Quarterly Avg.	FY13 1st Quarter	FY13 Qtrly. Avg. Year-to-Date	FY12 – FY13 % Change
Investigations Not Resulting in Disciplinary Action	1,139 (79%)	1,289 (78%)	1,289 (78%)	+13%
Investigate + Dismissal (Warning) Letter	349	277	277	-21%
Investigate + Cpt. Closed with Verbal Warning	101	42	42	-58%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	342	543	543	+59%
Investigate + Lost Jurisdiction (regist expired)	38	58	58	+53%
Inspections	27	37	37	+37%
Inspection + Warning Notice or Dismissal Ltr.	11	17	17	+55%
Application Withdrawn	91	63	63	-31%
Other *	183	252	252	+38%
Investigations Resulting in Disc. Action	228 (16%)	251 (15%)	251 (15%)	+10%
Agreed Board Order	188	195	195	+4%
Board Order	25	26	26	+4%
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0	0	0%
PNL + Application Withdrawn (with or without Informal Conference)	6	3	3	-50%
PNL + Informal Conference + Dismissal Letter	5	9	9	+80%
PNL + Informal Conference + Case Dismissed	3	18	18	+500%
PNL / Case Dismissed	0	0	0	0%
Temp. Suspension Hearing / Case Dismissed	1	0	0	-100%
Referrals To Other Agencies	19 (1%)	22 (1%)	22 (1%)	+16%
No Action **	56 (4%)	91 (6%)	91 (6%)	+63%
TOTAL	1,442 (100%)	1,653 (100%)	1,653 (100%)	+15%

* Represents miscellaneous actions, such as: complainant has withdrawn complaint; multiple actions (e.g., investigation and refer to PRN); complainant does not wish to cooperate with investigation (e.g., sign affidavit); alleged violation has already been addressed by a previous (recent) compliance inspection; or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

	1	2	3	4
	FY12 Quarterly Avg.	FY13 1st Quarter	FY13 Qtrly. Avg. Year-to-Date	FY12 – FY13 % Change
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	213 (15%)	221 (13%)	221 (13%)	+4%
Complaints Closed with Actions Other than Disciplinary Action	1,229 (85%)	1,432 (87%)	1,432 (87%)	+17%
TOTAL	1,442 (100%)	1,653 (100%)	1,653 (100%)	+15%