

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS *****

	1	2	3	4	5
	FY13 1st Quarter	FY13 2nd Quarter	FY13 3rd Quarter	FY13 4th Quarter	FY13 Year-to-Date
Investigations Not Resulting in Disciplinary Action	1,289 (78%)	1,228 (77%)			2,517 (77%)
Investigate + Dismissal (Warning) Letter	276	351			627
Investigate + Cpt. Closed with Verbal Warning	42	53			95
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	544	440			984
Investigate + Lost Jurisdiction (regist expired)	58	55			113
Inspections	37	34			71
Inspection + Warning Notice or Dismissal Ltr.	17	6			23
Application Withdrawn	62	53			115
Other *	253	236			489
Investigations Resulting in Disc. Action	251 (15%)	225 (14%)			476 (15%)
Agreed Board Order	195	169			364
Board Order	26	28			54
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0			0
PNL + Application Withdrawn (with or without Informal Conference) ****	18	19			37
PNL + Informal Conference + Dismissal Letter	9	6			15
PNL + Informal Conference + Case Dismissed	3	1			4
PNL / Case Dismissed	0	1			1
Temp. Suspension Hearing / Case Dismissed	0	1			1
Referrals To Other Agencies	22 (1%)	13 (1%)			35 (1%)
No Action **	91 (6%)	123 (8%)			214 (7%)
TOTAL	1,653 (100%)	1,589 (100%)			3,242 (100%)

* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

*** TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.

**** New code implemented in FY12.

	1	2	3	4	5
	FY13 1st Quarter	FY13 2nd Quarter	FY13 3rd Quarter	FY13 4th Quarter	FY13 Year-to-Date
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	221 (13%)	197 (12%)			418 (13%)
Complaints Closed with Actions Other than Disciplinary Action	1,432 (87%)	1,392 (88%)			2,824 (87%)
TOTAL	1,653 (100%)	1,589 (100%)			3,242 (100%)

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS *****

	1	2	3	4
	FY12 Quarterly Avg.	FY13 2nd Quarter	FY13 Qtrly. Avg. Year-to-Date	FY12 – FY13 % Change
Investigations Not Resulting in Disciplinary Action	1,139 (79%)	1,228 (77%)	1,259 (78%)	+11%
Investigate + Dismissal (Warning) Letter	349	351	314	-10%
Investigate + Cpt. Closed with Verbal Warning	101	53	48	-52%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	342	440	492	+44%
Investigate + Lost Jurisdiction (regist expired)	38	55	57	+50%
Inspections	27	34	36	+33%
Inspection + Warning Notice or Dismissal Ltr.	11	6	12	+9%
Application Withdrawn	91	53	58	-36%
Other *	183	236	245	+34%
Investigations Resulting in Disc. Action	228 (16%)	225 (14%)	238 (15%)	+4%
Agreed Board Order	188	169	182	-3%
Board Order	25	28	27	+8%
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0	0	0%
PNL + Application Withdrawn (with or without Informal Conference) ****	6	19	19	+217%
PNL + Informal Conference + Dismissal Letter	5	6	8	+60%
PNL + Informal Conference + Case Dismissed	3	1	2	-33%
PNL / Case Dismissed	0	1	1	+100%
Temp. Suspension Hearing / Case Dismissed	1	1	1	0%
Referrals To Other Agencies	19 (1%)	13 (1%)	18 (1%)	-5%
No Action **	56 (4%)	123 (8%)	107 (7%)	+91%
TOTAL	1,442 (100%)	1,589 (100%)	1,621 (100%)	+12%

- * Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).
- ** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).
- *** TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.
- **** New code implemented in FY12

	1	2	3	4
	FY12 Quarterly Avg.	FY13 2nd Quarter	FY13 Qtrly. Avg. Year-to-Date	FY12 – FY13 % Change
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	213 (15%)	197 (12%)	209 (13%)	-2%
Complaints Closed with Actions Other than Disciplinary Action	1,229 (85%)	1,392 (88%)	1,412 (87%)	+15%
TOTAL	1,442 (100%)	1,589 (100%)	1,621 (100%)	+12%