

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS *****

	1	2	3	4	5
	FY13 1st Quarter	FY13 2nd Quarter	FY13 3rd Quarter	FY13 4th Quarter	FY13 Year-to-Date
Investigations Not Resulting in Disciplinary Action	1,289 (78%)	1,228 (77%)	1,480 (83%)		3,997 (80%)
Investigate + Dismissal (Warning) Letter	276	351	457		1,084
Investigate + Cpt. Closed with Verbal Warning	42	53	44		139
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	544	440	530		1,514
Investigate + Lost Jurisdiction (regist expired)	58	55	68		181
Inspections	37	34	55		126
Inspection + Warning Notice or Dismissal Ltr.	17	6	21		44
Application Withdrawn	62	53	50		165
Other *	253	236	255		744
Investigations Resulting in Disc. Action	251 (15%)	225 (14%)	191 (11%)		667 (13%)
Agreed Board Order	195	169	138		502
Board Order	26	28	26		80
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0	0		0
PNL + Application Withdrawn (with or without Informal Conference) ****	18	19	18		55
PNL + Informal Conference + Dismissal Letter	9	6	5		20
PNL + Informal Conference + Case Dismissed	3	1	4		8
PNL / Case Dismissed	0	1	0		1
Temp. Suspension Hearing / Case Dismissed	0	1	0		1
Referrals To Other Agencies	22 (1%)	13 (1%)	16 (1%)		51 (1%)
No Action **	91 (6%)	123 (8%)	92 (5%)		306 (6%)
TOTAL	1,653 (100%)	1,589 (100%)	1,779 (100%)		5,021 (100%)

- * Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).
- ** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).
- *** TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.
- **** New code implemented in FY12.

	1	2	3	4	5
	FY13 1st Quarter	FY13 2nd Quarter	FY13 3rd Quarter	FY13 4th Quarter	FY13 Year-to-Date
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	221 (13%)	197 (12%)	164 (9%)		582 (12%)
Complaints Closed with Actions Other than Disciplinary Action	1,432 (87%)	1,392 (88%)	1,615 (91%)		4,439 (88%)
TOTAL	1,653 (100%)	1,589 (100%)	1,779 (100%)		5,021 (100%)

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	1	2	3	4
	FY12 Quarterly Avg.	FY13 3rd Quarter	FY13 Qtrly. Avg. Year-to-Date	FY12 – FY13 % Change
Investigations Not Resulting in Disciplinary Action	1,139 (79%)	1,480 (83%)	1,332 (80%)	+17%
Investigate + Dismissal (Warning) Letter	349	457	361	+3%
Investigate + Cpt. Closed with Verbal Warning	101	44	46	-54%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	342	530	505	+48%
Investigate + Lost Jurisdiction (regist expired)	38	68	60	+58%
Inspections	27	55	42	+56%
Inspection + Warning Notice or Dismissal Ltr.	11	21	15	+36%
Application Withdrawn	91	50	55	-40%
Other *	183	255	248	+36%
Investigations Resulting in Disc. Action	228 (16%)	191 (11%)	222 (13%)	-3%
Agreed Board Order	188	138	167	-11%
Board Order	25	26	27	+8%
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0	0	0%
PNL + Application Withdrawn (with or without Informal Conference) ****	6	18	18	+200%
PNL + Informal Conference + Dismissal Letter	5	5	7	+40%
PNL + Informal Conference + Case Dismissed	3	4	3	0%
PNL / Case Dismissed	0	0	0	0%
Temp. Suspension Hearing / Case Dismissed	1	0	0	-100%
Referrals To Other Agencies	19 (1%)	16 (1%)	17 (1%)	-11%
No Action **	56 (4%)	92 (5%)	102 (6%)	+82%
TOTAL	1,442 (100%)	1,779 (100%)	1,674 (100%)	+16%

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- ** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).
- *** TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.
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	FY12 Quarterly Avg.	FY13 3rd Quarter	FY13 Qtrly. Avg. Year-to-Date	FY12 – FY13 % Change
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	213 (15%)	164 (9%)	194 (12%)	-9%
Complaints Closed with Actions Other than Disciplinary Action	1,229 (85%)	1,615 (91%)	1,480 (88%)	+20%
TOTAL	1,442 (100%)	1,779 (100%)	1,674 (100%)	+16%