

**TEXAS STATE BOARD OF PHARMACY  
QUARTERLY REPORT ON DISMISSED COMPLAINTS \*\*\***

	1	2	3	4	5
	<b>FY14 1st Quarter</b>	<b>FY14 2nd Quarter</b>	<b>FY14 3rd Quarter</b>	<b>FY14 4th Quarter</b>	<b>FY14 Year-to-Date</b>
<b>Investigations Not Resulting in Disciplinary Action</b>	<b>1,181 (81%)</b>	<b>939 (76%)</b>	<b>1,010 (78%)</b>		<b>3,130 (78%)</b>
Investigate + Dismissal (Warning) Letter	331	254	238		823
Investigate + Cpt. Closed with Verbal Warning	32	26	24		82
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	429	398	483		1,310
Investigate + Lost Juris. (regist expired/phcy closed)	78	43	24		145
Inspections	15	7	11		33
Inspection + Warning Notice or Dismissal Ltr.	7	13	6		26
Application Withdrawn	79	40	49		168
Other *	210	158	175		543
<b>Investigations Resulting in Disc. Action</b>	<b>157 (11%)</b>	<b>189 (15%)</b>	<b>184 (14%)</b>		<b>530 (13%)</b>
Agreed Board Order	123	135	124		382
Board Order	13	33	26		72
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0	0		0
PNL + Application Withdrawn (with or without Informal Conference)	20	18	29		67
PNL + Informal Conference + Dismissal Letter	1	1	0		2
PNL + Informal Conference + Case Dismissed	0	2	5		7
PNL / Case Dismissed	0	0	0		0
Temp. Suspension Hearing / Case Dismissed	0	0	0		0
<b>Referrals To Other Agencies</b>	<b>5 (&lt;1%)</b>	<b>7 (&lt;1%)</b>	<b>6 (&lt;1%)</b>		<b>18 (&lt;1%)</b>
<b>No Action **</b>	<b>109 (8%)</b>	<b>108 (9%)</b>	<b>98 (8%)</b>		<b>315 (8%)</b>
<b>TOTAL</b>	<b>1,452 (100%)</b>	<b>1,243 (100%)</b>	<b>1,298 (100%)</b>		<b>3,993 (100%)</b>

\* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

\*\* No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

\*\*\* TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.

	1	2	3	4	5
	<b>FY14 1st Quarter</b>	<b>FY14 2nd Quarter</b>	<b>FY14 3rd Quarter</b>	<b>FY14 4th Quarter</b>	<b>FY14 Year-to-Date</b>
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	136 (9%)	168 (14%)	150 (12%)		454 (11%)
Complaints Closed with Actions Other than Disciplinary Action	1,316 (91%)	1,075 (86%)	1,148 (88%)		3,539 (89%)
<b>TOTAL</b>	<b>1,452 (100%)</b>	<b>1,243 (100%)</b>	<b>1,298 (100%)</b>		<b>3,993 (100%)</b>

**TEXAS STATE BOARD OF PHARMACY  
QUARTERLY REPORT ON DISMISSED COMPLAINTS \*\*\***

	1	2	3	4
	<b>FY13 Quarterly Avg.</b>	<b>FY14 3rd Quarter</b>	<b>FY14 Qtrly. Avg. Year-to-Date</b>	<b>FY13 – FY14 % Change</b>
<b>Investigations Not Resulting in Disciplinary Action</b>	<b>1,315 (80%)</b>	<b>1,010 (78%)</b>	<b>1,043 (78%)</b>	<b>-21%</b>
Investigate + Dismissal (Warning) Letter	366	238	274	-25%
Investigate + Cpt. Closed with Verbal Warning	42	24	27	-36%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	498	483	437	-12%
Investigate + Lost Juris. (regist expired/phcy closed)	58	24	48	-17%
Inspections	35	11	11	-69%
Inspection + Warning Notice or Dismissal Ltr.	15	6	9	-40%
Application Withdrawn	51	49	56	+10%
Other *	250	175	181	-28%
<b>Investigations Resulting in Disc. Action</b>	<b>210 (13%)</b>	<b>184 (14%)</b>	<b>177 (13%)</b>	<b>-16%</b>
Agreed Board Order	162	124	127	-22%
Board Order	23	26	24	+4%
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0	0	0%
PNL + Application Withdrawn (with or without Informal Conference)	17	29	22	+29%
PNL + Informal Conference + Dismissal Letter	6	0	1	-83%
PNL + Informal Conference + Case Dismissed	4	5	2	-50%
PNL / Case Dismissed	0	0	0	0%
Temp. Suspension Hearing / Case Dismissed	0	0	0	0%
<b>Referrals To Other Agencies</b>	<b>15 (1%)</b>	<b>6 (&lt;1%)</b>	<b>6 (&lt;1%)</b>	<b>-60%</b>
<b>No Action **</b>	<b>98 (6%)</b>	<b>98 (8%)</b>	<b>105 (8%)</b>	<b>+7%</b>
<b>TOTAL</b>	<b>1,638 (100%)</b>	<b>1,298 (100%)</b>	<b>1,331 (100%)</b>	<b>-19%</b>

\* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

\*\* No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

\*\*\* TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.

	1	2	3	4
	<b>FY13 Quarterly Avg.</b>	<b>FY14 3rd Quarter</b>	<b>FY14 Qtrly. Avg. Year-to-Date</b>	<b>FY13 – FY14 % Change</b>
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	184 (11%)	150 (12%)	151 (11%)	-18%
Complaints Closed with Actions Other than Disciplinary Action	1,454 (89%)	1,148 (88%)	1,180 (89%)	-19%
<b>TOTAL</b>	<b>1,638 (100%)</b>	<b>1,298 (100%)</b>	<b>1,331 (100%)</b>	<b>-19%</b>