

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS *****

	1	2	3	4	5
	FY15 1st Quarter	FY15 2nd Quarter	FY15 3rd Quarter	FY15 4th Quarter	FY15 Year-to-Date
Investigations Not Resulting in Disciplinary Action	1,286 (82%)	1,132 (80%)	1,132 (82%)		3,550 (81%)
Investigate + Dismissal (Warning) Letter	331	275	282		888
Investigate + Cpt. Closed with Verbal Warning	15	13	31		59
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	541	458	466		1,465
Investigate + Lost Juris. (regist expired/phcy closed)	79	47	41		167
Inspections	22	2	4		28
Inspection + Warning Notice or Dismissal Ltr.	13	25	8		46
Application Withdrawn	77	36	68		181
Other *	208	276	232		716
Investigations Resulting in Disc. Action	198 (13%)	223 (16%)	172 (12%)		593 (14%)
Agreed Board Order	136	161	122		419
Board Order	24	29	27		80
Remedial Plan ****	7	7	4		18
Preliminary Notice Letter (PNL) + Dismissal Letter	0	0	0		0
PNL + Application Withdrawn (with or without Informal Conference)	27	19	12		58
PNL + Informal Conference + Dismissal Letter	1	0	2		3
PNL + Informal Conference + Case Dismissed	0	1	3		4
PNL + Dismissal or Other Action	3	6	2		11
Temp. Suspension Hearing / Case Dismissed	0	0	0		0
Referrals To Other Agencies	10 (<1%)	8 (<1%)	7 (<1%)		25 (<1%)
No Action **	82 (5%)	52 (4%)	68 (5%)		202 (5%)
TOTAL	1,576 (100%)	1,415 (100%)	1,379 (100%)		4,370 (100%)

- * Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).
- ** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).
- *** TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.
- **** TSBP entered its first Remedial Plan on August 5, 2014.

	1	2	3	4	5
	FY15 1st Quarter	FY15 2nd Quarter	FY15 3rd Quarter	FY15 4th Quarter	FY15 Year-to-Date
Complaints Closed with Disciplinary Orders	160 (10%)	190 (13%)	149 (11%)		499 (11%)
Complaints Closed with Actions Other than Disciplinary Orders	1,416 (90%)	1,225 (87%)	1,230 (89%)		3,871 (89%)
TOTAL	1,576 (100%)	1,415 (100%)	1,379 (100%)		4,370 (100%)

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS *****

	1	2	3	4
	FY14 Quarterly Avg.	FY15 3rd Quarter	FY15 Qtrly. Avg. Year-to-Date	FY14 – FY15 % Change
Investigations Not Resulting in Disciplinary Action	1,093 (78%)	1,132 (82%)	1,183 (81%)	+8%
Investigate + Dismissal (Warning) Letter	309	282	296	-4%
Investigate + Cpt. Closed with Verbal Warning	24	31	20	-17%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	449	466	488	+9%
Investigate + Lost Juris. (regist expired/phcy closed)	50	41	56	+12%
Inspections	11	4	9	-18%
Inspection + Warning Notice or Dismissal Ltr.	9	8	15	+67%
Application Withdrawn	59	68	60	+2%
Other *	182	232	239	+31%
Investigations Resulting in Disc. Action	188 (13%)	172 (12%)	198 (14%)	+5%
Agreed Board Order	136	122	140	+3%
Board Order	26	27	27	+4%
Remedial Plan ****	n/a	4	6	n/a
Preliminary Notice Letter (PNL) + Dismissal Letter	0	0	0	0%
PNL + Application Withdrawn (with or without Informal Conference)	21	12	19	-10%
PNL + Informal Conference + Dismissal Letter	2	2	1	-50%
PNL + Informal Conference + Case Dismissed	2	3	1	-50%
PNL + Dismissal or Other Action	1	2	4	+300%
Temp. Suspension Hearing / Case Dismissed	0	0	0	0%
Referrals To Other Agencies	6 (<1%)	7 (<1%)	8 (<1%)	+33%
No Action **	116 (8%)	68 (5%)	67 (5%)	-42%
TOTAL	1,402 (100%)	1,379 (100%)	1,457 (100%)	+4%

- * Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).
- ** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).
- *** TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.
- **** TSBP entered its first Remedial Plan on August 5, 2014

	1	2	3	4
	FY14 Quarterly Avg.	FY15 3rd Quarter	FY15 Qtrly. Avg. Year-to-Date	FY14 – FY15 % Change
Complaints Closed with Disciplinary Orders	162 (12%)	149 (11%)	166 (11%)	+2%
Complaints Closed with Actions Other than Disciplinary Orders	1,239 (88%)	1,230 (89%)	1,290 (89%)	+4%
TOTAL	1,402 (100%)	1,379 (100%)	1,457 (100%)	+4%