

**TEXAS STATE BOARD OF PHARMACY  
QUARTERLY REPORT ON DISMISSED COMPLAINTS \*\*\***

	1	2	3	4	5
	<b>FY14 1st Quarter</b>	<b>FY14 2nd Quarter</b>	<b>FY14 3rd Quarter</b>	<b>FY14 4th Quarter</b>	<b>FY14 Year-to-Date</b>
<b>Investigations Not Resulting in Disciplinary Action</b>	<b>1,181 (81%)</b>				<b>1,181 (81%)</b>
Investigate + Dismissal (Warning) Letter	331				331
Investigate + Cpt. Closed with Verbal Warning	32				32
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	429				429
Investigate + Lost Juris. (regist expired/phcy closed)	78				78
Inspections	15				15
Inspection + Warning Notice or Dismissal Ltr.	7				7
Application Withdrawn	79				79
Other *	210				210
<b>Investigations Resulting in Disc. Action</b>	<b>157 (11%)</b>				<b>157 (11%)</b>
Agreed Board Order	123				123
Board Order	13				13
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0				0
PNL + Application Withdrawn (with or without Informal Conference) ****	20				20
PNL + Informal Conference + Dismissal Letter	1				1
PNL + Informal Conference + Case Dismissed	0				0
PNL / Case Dismissed	0				0
Temp. Suspension Hearing / Case Dismissed	0				0
<b>Referrals To Other Agencies</b>	<b>5 (&lt;1%)</b>				<b>5 (&lt;1%)</b>
<b>No Action **</b>	<b>109 (8%)</b>				<b>109 (8%)</b>
<b>TOTAL</b>	<b>1,452 (100%)</b>				<b>1,452 (100%)</b>

\* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

\*\* No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

\*\*\* TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.

\*\*\*\* New code implemented in FY12.

	1	2	3	4	5
	<b>FY14 1st Quarter</b>	<b>FY14 2nd Quarter</b>	<b>FY14 3rd Quarter</b>	<b>FY14 4th Quarter</b>	<b>FY14 Year-to-Date</b>
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	136 (9%)				136 (9%)
Complaints Closed with Actions Other than Disciplinary Action	1,316 (91%)				1,316 (91%)
<b>TOTAL</b>	<b>1,452 (100%)</b>				<b>1,452 (100%)</b>

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QUARTERLY REPORT ON DISMISSED COMPLAINTS \*\*\***

	1	2	3	4
	<b>FY13 Quarterly Avg.</b>	<b>FY14 1st Quarter</b>	<b>FY14 Qtrly. Avg. Year-to-Date</b>	<b>FY13 – FY14 % Change</b>
<b>Investigations Not Resulting in Disciplinary Action</b>	<b>1,315 (80%)</b>	<b>1,181 (81%)</b>	<b>1,181 (81%)</b>	<b>-10%</b>
Investigate + Dismissal (Warning) Letter	366	331	331	-10%
Investigate + Cpt. Closed with Verbal Warning	42	32	32	-24%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	498	429	429	-14%
Investigate + Lost Juris. (regist expired/phcy closed)	58	78	78	+34%
Inspections	35	15	15	-57%
Inspection + Warning Notice or Dismissal Ltr.	15	7	7	-53%
Application Withdrawn	51	79	79	+55%
Other *	250	210	210	-16%
<b>Investigations Resulting in Disc. Action</b>	<b>210 (13%)</b>	<b>157 (11%)</b>	<b>157 (11%)</b>	<b>-25%</b>
Agreed Board Order	162	123	123	-24%
Board Order	23	13	13	-43%
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0	0	0%
PNL + Application Withdrawn (with or without Informal Conference) ****	17	20	20	+18%
PNL + Informal Conference + Dismissal Letter	6	1	1	-83%
PNL + Informal Conference + Case Dismissed	4	0	0	-100%
PNL / Case Dismissed	0	0	0	0%
Temp. Suspension Hearing / Case Dismissed	0	0	0	0%
<b>Referrals To Other Agencies</b>	<b>15 (1%)</b>	<b>5 (&lt;1%)</b>	<b>5 (&lt;1%)</b>	<b>-67%</b>
<b>No Action **</b>	<b>98 (6%)</b>	<b>109 (8%)</b>	<b>109 (8%)</b>	<b>+11%</b>
<b>TOTAL</b>	<b>1,638 (100%)</b>	<b>1,452 (100%)</b>	<b>1,452 (100%)</b>	<b>-11%</b>

- \* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).
- \*\* No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).
- \*\*\* TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.
- \*\*\*\* New code implemented in FY12

	1	2	3	4
	<b>FY13 Quarterly Avg.</b>	<b>FY14 1st Quarter</b>	<b>FY14 Qtrly. Avg. Year-to-Date</b>	<b>FY13 – FY14 % Change</b>
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	184 (11%)	136 (9%)	136 (9%)	-26%
Complaints Closed with Actions Other than Disciplinary Action	1,454 (89%)	1,316 (91%)	1,316 (91%)	-9%
<b>TOTAL</b>	<b>1,638 (100%)</b>	<b>1,452 (100%)</b>	<b>1,452 (100%)</b>	<b>-11%</b>