

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS *****

	1	2	3	4	5
	FY14 1st Quarter	FY14 2nd Quarter	FY14 3rd Quarter	FY14 4th Quarter	FY14 Year-to-Date
Investigations Not Resulting in Disciplinary Action	1,181 (81%)	939 (76%)			2,120 (79%)
Investigate + Dismissal (Warning) Letter	331	254			585
Investigate + Cpt. Closed with Verbal Warning	32	26			58
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	429	398			827
Investigate + Lost Juris. (regist expired/phcy closed)	78	43			121
Inspections	15	7			22
Inspection + Warning Notice or Dismissal Ltr.	7	13			20
Application Withdrawn	79	40			119
Other *	210	158			368
Investigations Resulting in Disc. Action	157 (11%)	189 (15%)			346 (13%)
Agreed Board Order	123	135			258
Board Order	13	33			46
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0			0
PNL + Application Withdrawn (with or without Informal Conference) ****	20	18			38
PNL + Informal Conference + Dismissal Letter	1	1			2
PNL + Informal Conference + Case Dismissed	0	2			2
PNL / Case Dismissed	0	0			0
Temp. Suspension Hearing / Case Dismissed	0	0			0
Referrals To Other Agencies	5 (<1%)	7 (<1%)			12 (<1%)
No Action **	109 (8%)	108 (9%)			217 (8%)
TOTAL	1,452 (100%)	1,243 (100%)			2,695 (100%)

- * Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).
- ** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).
- *** TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.
- **** New code implemented in FY12.

	1	2	3	4	5
	FY14 1st Quarter	FY14 2nd Quarter	FY14 3rd Quarter	FY14 4th Quarter	FY14 Year-to-Date
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	136 (9%)	168 (14%)			304 (11%)
Complaints Closed with Actions Other than Disciplinary Action	1,316 (91%)	1,075 (86%)			2,391 (89%)
TOTAL	1,452 (100%)	1,243 (100%)			2,695 (100%)

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QUARTERLY REPORT ON DISMISSED COMPLAINTS *****

	1	2	3	4
	FY13 Quarterly Avg.	FY14 2nd Quarter	FY14 Qtrly. Avg. Year-to-Date	FY13 – FY14 % Change
Investigations Not Resulting in Disciplinary Action	1,315 (80%)	939 (76%)	1,060 (79%)	-19%
Investigate + Dismissal (Warning) Letter	366	254	293	-20%
Investigate + Cpt. Closed with Verbal Warning	42	26	29	-31%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	498	398	414	-17%
Investigate + Lost Juris. (regist expired/phcy closed)	58	43	61	+5%
Inspections	35	7	11	-69%
Inspection + Warning Notice or Dismissal Ltr.	15	13	10	-33%
Application Withdrawn	51	40	60	+18%
Other *	250	158	184	-26%
Investigations Resulting in Disc. Action	210 (13%)	189 (15%)	173 (13%)	-18%
Agreed Board Order	162	135	129	-20%
Board Order	23	33	23	0%
Preliminary Notice Letter (PNL) + Dismissal (Warning) Letter	0	0	0	0%
PNL + Application Withdrawn (with or without Informal Conference) ****	17	18	19	+12%
PNL + Informal Conference + Dismissal Letter	6	1	1	-83%
PNL + Informal Conference + Case Dismissed	4	2	1	-75%
PNL / Case Dismissed	0	0	0	0%
Temp. Suspension Hearing / Case Dismissed	0	0	0	0%
Referrals To Other Agencies	15 (1%)	7 (<1%)	6 (<1%)	-60%
No Action **	98 (6%)	108 (9%)	109 (8%)	+11%
TOTAL	1,638 (100%)	1,243 (100%)	1,348 (100%)	-18%

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	1	2	3	4
	FY13 Quarterly Avg.	FY14 2nd Quarter	FY14 Qtrly. Avg. Year-to-Date	FY13 – FY14 % Change
Complaints Closed Resulting in Disciplinary Action (ABOs / BOs)	184 (11%)	168 (14%)	152 (11%)	-17%
Complaints Closed with Actions Other than Disciplinary Action	1,454 (89%)	1,075 (86%)	1,196 (89%)	-18%
TOTAL	1,638 (100%)	1,243 (100%)	1,348 (100%)	-18%