

**TEXAS STATE BOARD OF PHARMACY  
QUARTERLY REPORT ON DISMISSED COMPLAINTS \*\*\***

	1	2	3	4	5
	<b>FY15 1st Quarter</b>	<b>FY15 2nd Quarter</b>	<b>FY15 3rd Quarter</b>	<b>FY15 4th Quarter</b>	<b>FY15 Year-to-Date</b>
<b>Investigations Not Resulting in Disciplinary Action</b>	<b>1,286 (82%)</b>	<b>1,132 (80%)</b>			<b>2,418 (81%)</b>
Investigate + Dismissal (Warning) Letter	331	275			606
Investigate + Cpt. Closed with Verbal Warning	15	13			28
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	541	458			999
Investigate + Lost Juris. (regist expired/phcy closed)	79	47			126
Inspections	22	2			24
Inspection + Warning Notice or Dismissal Ltr.	13	25			38
Application Withdrawn	77	36			113
Other *	208	276			484
<b>Investigations Resulting in Disc. Action</b>	<b>198 (13%)</b>	<b>223 (16%)</b>			<b>421 (14%)</b>
Agreed Board Order	136	161			297
Board Order	24	29			53
Remedial Plan ****	7	7			14
Preliminary Notice Letter (PNL) + Dismissal Letter	0	0			0
PNL + Application Withdrawn (with or without Informal Conference)	27	19			46
PNL + Informal Conference + Dismissal Letter	1	0			1
PNL + Informal Conference + Case Dismissed	0	1			1
PNL + Dismissal or Other Action	3	6			9
Temp. Suspension Hearing / Case Dismissed	0	0			0
<b>Referrals To Other Agencies</b>	<b>10 (&lt;1%)</b>	<b>8 (&lt;1%)</b>			<b>18 (&lt;1%)</b>
<b>No Action **</b>	<b>82 (5%)</b>	<b>52 (4%)</b>			<b>134 (4%)</b>
<b>TOTAL</b>	<b>1,576 (100%)</b>	<b>1,415 (100%)</b>			<b>2,991 (100%)</b>

- \* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, quarterly report received late, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).
- \*\* No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).
- \*\*\* TSBP migrated to a new computer system on 5-27-11. All pending complaints with two entities were migrated as two complaints.
- \*\*\*\* TSBP entered its first Remedial Plan on August 5, 2014.

	1	2	3	4	5
	<b>FY15 1st Quarter</b>	<b>FY15 2nd Quarter</b>	<b>FY15 3rd Quarter</b>	<b>FY15 4th Quarter</b>	<b>FY15 Year-to-Date</b>
Complaints Closed with Disciplinary Orders	160 (10%)	190 (13%)			350 (12%)
Complaints Closed with Actions Other than Disciplinary Orders	1,416 (90%)	1,225 (87%)			2,641 (88%)
<b>TOTAL</b>	<b>1,576 (100%)</b>	<b>1,415 (100%)</b>			<b>2,991 (100%)</b>

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QUARTERLY REPORT ON DISMISSED COMPLAINTS \*\*\***

	1	2	3	4
	<b>FY14 Quarterly Avg.</b>	<b>FY15 2nd Quarter</b>	<b>FY15 Qtrly. Avg. Year-to-Date</b>	<b>FY14 – FY15 % Change</b>
<b>Investigations Not Resulting in Disciplinary Action</b>	<b>1,093 (78%)</b>	<b>1,132 (80%)</b>	<b>1,209 (81%)</b>	<b>+11%</b>
Investigate + Dismissal (Warning) Letter	309	275	303	-2%
Investigate + Cpt. Closed with Verbal Warning	24	13	14	-42%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	449	458	500	+11%
Investigate + Lost Juris. (regist expired/phcy closed)	50	47	63	+26%
Inspections	11	2	12	+9%
Inspection + Warning Notice or Dismissal Ltr.	9	25	19	+111%
Application Withdrawn	59	36	57	-3%
Other *	182	276	242	+33%
<b>Investigations Resulting in Disc. Action</b>	<b>188 (13%)</b>	<b>223 (16%)</b>	<b>211 (14%)</b>	<b>+12%</b>
Agreed Board Order	136	161	149	+10%
Board Order	26	29	27	+4%
Remedial Plan ****	n/a	7	7	n/a
Preliminary Notice Letter (PNL) + Dismissal Letter	0	0	0	0%
PNL + Application Withdrawn (with or without Informal Conference)	21	19	23	+10%
PNL + Informal Conference + Dismissal Letter	2	0	1	-50%
PNL + Informal Conference + Case Dismissed	2	1	1	-50%
PNL + Dismissal or Other Action	1	6	5	+400%
Temp. Suspension Hearing / Case Dismissed	0	0	0	0%
<b>Referrals To Other Agencies</b>	<b>6 (&lt;1%)</b>	<b>8 (&lt;1%)</b>	<b>9 (&lt;1%)</b>	<b>+50%</b>
<b>No Action **</b>	<b>116 (8%)</b>	<b>52 (4%)</b>	<b>67 (4%)</b>	<b>-42%</b>
<b>TOTAL</b>	<b>1,402 (100%)</b>	<b>1,415 (100%)</b>	<b>1,496 (100%)</b>	<b>+7%</b>

- \* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).
- \*\* No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).
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	1	2	3	4
	<b>FY14 Quarterly Avg.</b>	<b>FY15 2nd Quarter</b>	<b>FY15 Qtrly. Avg. Year-to-Date</b>	<b>FY14 – FY15 % Change</b>
Complaints Closed with Disciplinary Orders	162 (12%)	190 (13%)	175 (12%)	+8%
Complaints Closed with Actions Other than Disciplinary Orders	1,239 (88%)	1,225 (87%)	1,321 (88%)	+7%
<b>TOTAL</b>	<b>1,402 (100%)</b>	<b>1,415 (100%)</b>	<b>1,496 (100%)</b>	<b>+7%</b>