

**TEXAS STATE BOARD OF PHARMACY  
QUARTERLY REPORT ON DISMISSED COMPLAINTS**

	1	2	3	4	5
	<b>FY16 1st Quarter</b>	<b>FY16 2nd Quarter</b>	<b>FY16 3rd Quarter</b>	<b>FY16 4th Quarter</b>	<b>FY16 Year-to-Date</b>
<b>Investigations Not Resulting in Disciplinary Action</b>	<b>1,285 (84%)</b>	<b>1,296 (84%)</b>			<b>2,581 (84%)</b>
Investigate + Dismissal (Warning) Letter	251	278			529
Investigate + Cpt. Closed with Verbal Warning	37	30			67
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	637	584			1,221
Investigate + Lost Juris. (regist expired/phcy closed)	45	64			109
Inspections	8	25			33
Inspection + Warning Notice or Dismissal Ltr.	21	19			40
Application Withdrawn	69	54			123
Other *	217	242			459
<b>Investigations Resulting in Disc. Action</b>	<b>182 (12%)</b>	<b>170 (11%)</b>			<b>352 (11%)</b>
Agreed Board Order	135	116			251
Board Order	17	16			33
Remedial Plan	3	4			7
Preliminary Notice Letter (PNL) + Dismissal Letter	0	1			1
PNL + Application Withdrawn (with or without Informal Conference)	19	22			41
PNL + Informal Conference + Dismissal Letter	1	0			1
PNL + Informal Conference + Case Dismissed	5	7			12
PNL + Dismissal or Other Action	1	2			3
Temp. Suspension Hearing / Case Dismissed	1	2			3
<b>Referrals To Other Agencies</b>	<b>8 (&lt;1%)</b>	<b>24 (2%)</b>			<b>32 (1%)</b>
<b>No Action **</b>	<b>58 (4%)</b>	<b>54 (3%)</b>			<b>112 (4%)</b>
<b>TOTAL</b>	<b>1,533 (100%)</b>	<b>1,544 (100%)</b>			<b>3,077 (100%)</b>

\* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

\*\* No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

	1	2	3	4	5
	<b>FY16 1st Quarter</b>	<b>FY16 2nd Quarter</b>	<b>FY16 3rd Quarter</b>	<b>FY16 4th Quarter</b>	<b>FY16 Year-to-Date</b>
Complaints Closed with Disciplinary Orders	152 (10%)	132 (9%)			284 (9%)
Complaints Closed with Actions Other than Disciplinary Orders	1,381 (90%)	1,412 (91%)			2,793 (91%)
<b>TOTAL</b>	<b>1,533 (100%)</b>	<b>1,544 (100%)</b>			<b>3,077 (100%)</b>

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QUARTERLY REPORT ON DISMISSED COMPLAINTS**

	1	2	3	4
	<b>FY15 Quarterly Avg.</b>	<b>FY16 2nd Quarter</b>	<b>FY16 Qtrly. Avg. Year-to-Date</b>	<b>FY15 – FY16 % Change</b>
<b>Investigations Not Resulting in Disciplinary Action</b>	<b>1,217 (82%)</b>	<b>1,296 (84%)</b>	<b>1,291 (84%)</b>	<b>+6%</b>
Investigate + Dismissal (Warning) Letter	282	278	265	-6%
Investigate + Cpt. Closed with Verbal Warning	22	30	34	+55%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	532	584	611	+15%
Investigate + Lost Juris. (regist expired/phcy closed)	56	64	55	-2%
Inspections	11	25	17	+55%
Inspection + Warning Notice or Dismissal Ltr.	14	19	20	+43%
Application Withdrawn	55	54	62	+13%
Other *	245	242	230	-6%
<b>Investigations Resulting in Disc. Action</b>	<b>203 (14%)</b>	<b>170 (11%)</b>	<b>176 (11%)</b>	<b>-13%</b>
Agreed Board Order	140	116	126	-10%
Board Order	29	16	17	-41%
Remedial Plan	7	4	4	-43%
Preliminary Notice Letter (PNL) + Dismissal Letter	0	1	1	+100%
PNL + Application Withdrawn (with or without Informal Conference)	20	22	21	+5%
PNL + Informal Conference + Dismissal Letter	1	0	1	0%
PNL + Informal Conference + Case Dismissed	3	7	6	+100%
PNL + Dismissal or Other Action	4	2	2	-50%
Temp. Suspension Hearing / Case Dismissed	0	2	2	+200%
<b>Referrals To Other Agencies</b>	<b>8 (&lt;1%)</b>	<b>24 (2%)</b>	<b>16 (1%)</b>	<b>+100%</b>
<b>No Action **</b>	<b>61 (4%)</b>	<b>54 (3%)</b>	<b>56 (4%)</b>	<b>-8%</b>
<b>TOTAL</b>	<b>1,489 (100%)</b>	<b>1,544 (100%)</b>	<b>1,539 (100%)</b>	<b>+3%</b>

\* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

\*\* No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

	1	2	3	4
	<b>FY15 Quarterly Avg.</b>	<b>FY16 2nd Quarter</b>	<b>FY16 Qtrly. Avg. Year-to-Date</b>	<b>FY15 – FY16 % Change</b>
Complaints Closed with Disciplinary Orders	169 (11%)	132 (9%)	142 (9%)	-16%
Complaints Closed with Actions Other than Disciplinary Orders	1,320 (89%)	1,412 (91%)	1,397 (91%)	+6%
<b>TOTAL</b>	<b>1,489 (100%)</b>	<b>1,544 (100%)</b>	<b>1,539 (100%)</b>	<b>+3%</b>