

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS**

	1	2	3	4	5
	FY15 1st Quarter	FY15 2nd Quarter	FY15 3rd Quarter	FY15 4th Quarter	FY15 Year-to-Date
Investigations Not Resulting in Disciplinary Action	1,286 (82%)	1,132 (80%)	1,132 (82%)	1,316 (83%)	4,866 (82%)
Investigate + Dismissal (Warning) Letter	331	275	282	241	1,129
Investigate + Cpt. Closed with Verbal Warning	15	13	31	28	87
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	541	458	466	663	2,128
Investigate + Lost Juris. (regist expired/phcy closed)	79	47	41	58	225
Inspections	22	2	4	16	44
Inspection + Warning Notice or Dismissal Ltr.	13	25	8	10	56
Application Withdrawn	77	36	68	38	219
Other *	208	276	232	262	978
Investigations Resulting in Disc. Action	198 (13%)	223 (16%)	172 (12%)	218 (14%)	811 (14%)
Agreed Board Order	136	161	122	140	559
Board Order	24	29	27	35	115
Remedial Plan	7	7	4	8	26
Preliminary Notice Letter (PNL) + Dismissal Letter	0	0	0	0	0
PNL + Application Withdrawn (with or without Informal Conference)	27	19	12	22	80
PNL + Informal Conference + Dismissal Letter	1	0	2	0	3
PNL + Informal Conference + Case Dismissed	0	1	3	7	11
PNL + Dismissal or Other Action	3	6	2	6	17
Temp. Suspension Hearing / Case Dismissed	0	0	0	0	0
Referrals To Other Agencies	10 (<1%)	8 (<1%)	7 (<1%)	8 (<1%)	33 (<1%)
No Action **	82 (5%)	52 (4%)	68 (5%)	43 (3%)	245 (4%)
TOTAL	1,576 (100%)	1,415 (100%)	1,379 (100%)	1,585 (100%)	5,955 (100%)

* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

	1	2	3	4	5
	FY15 1st Quarter	FY15 2nd Quarter	FY15 3rd Quarter	FY15 4th Quarter	FY15 Year-to-Date
Complaints Closed with Disciplinary Orders	160 (10%)	190 (13%)	149 (11%)	175 (11%)	674 (11%)
Complaints Closed with Actions Other than Disciplinary Orders	1,416 (90%)	1,225 (87%)	1,230 (89%)	1,410 (89%)	5,281 (89%)
TOTAL	1,576 (100%)	1,415 (100%)	1,379 (100%)	1,585 (100%)	5,955 (100%)

**TEXAS STATE BOARD OF PHARMACY
QUARTERLY REPORT ON DISMISSED COMPLAINTS**

	1	2	3	4
	FY14 Quarterly Avg.	FY15 4th Quarter	FY15 Qtrly. Avg. Year-to-Date	FY14 – FY15 % Change
Investigations Not Resulting in Disciplinary Action	1,093 (78%)	1,316 (83%)	1,217 (82%)	+11%
Investigate + Dismissal (Warning) Letter	309	241	282	-9%
Investigate + Cpt. Closed with Verbal Warning	24	28	22	-8%
Investigate + Cpt. Closed with No Action Due to Insuff. Evidence to Prove Violation Occurred	449	663	532	+18%
Investigate + Lost Juris. (regist expired/phcy closed)	50	58	56	+12%
Inspections	11	16	11	0%
Inspection + Warning Notice or Dismissal Ltr.	9	10	14	+56%
Application Withdrawn	59	38	55	-7%
Other *	182	262	245	+35%
Investigations Resulting in Disc. Action	188 (13%)	218 (14%)	203 (14%)	+8%
Agreed Board Order	136	140	140	+3%
Board Order	26	35	29	+12%
Remedial Plan	n/a	8	7	n/a
Preliminary Notice Letter (PNL) + Dismissal Letter	0	0	0	0%
PNL + Application Withdrawn (with or without Informal Conference)	21	22	20	-5%
PNL + Informal Conference + Dismissal Letter	2	0	1	-50%
PNL + Informal Conference + Case Dismissed	2	7	3	+50%
PNL + Dismissal or Other Action	1	6	4	+300%
Temp. Suspension Hearing / Case Dismissed	0	0	0	0%
Referrals To Other Agencies	6 (<1%)	8 (<1%)	8 (<1%)	+33%
No Action **	116 (8%)	43 (3%)	61 (4%)	-47%
TOTAL	1,402 (100%)	1,585 (100%)	1,489 (100%)	+6%

* Represents miscellaneous actions, such as: complainant withdrew complaint or does not wish to cooperate with investigation, or complaint has been inactivated (e.g., due to pending criminal trial/proceedings).

** No action due to: no violation; no jurisdiction; insufficient information; violation not substantive (e.g., report of loss of small quantity of controlled substance).

	1	2	3	4
	FY14 Quarterly Avg.	FY15 4th Quarter	FY15 Qtrly. Avg. Year-to-Date	FY14 – FY15 % Change
Complaints Closed with Disciplinary Orders	162 (12%)	175 (11%)	169 (11%)	+4%
Complaints Closed with Actions Other than Disciplinary Orders	1,239 (88%)	1,410 (89%)	1,320 (89%)	+7%
TOTAL	1,402 (100%)	1,585 (100%)	1,489 (100%)	+6%