

# TEXAS STATE BOARD OF PHARMACY

333 Guadalupe Street, Ste. 3-600 ★ Austin, Texas 78701  
512-305-8021 ★ 512-305-8082 (fax) ★ www.tsbp.state.tx.us

## INSTRUCTIONS FOR FILING CLASS D PHARMACY APPLICATION

**IMPORTANT:** Read and follow these instructions completely. Failure to submit the necessary items will delay the processing of your application. You will be notified of any deficiencies in your application within 2 to 4 weeks of receipt.

Allow a *minimum of 90 days* from the time your application packet is complete, to process your application. Any forms that have been previously submitted with another application will not be pulled from the file. You must complete and submit all of the requested information. If the application process is not completed within one year from the application receipt date, the application will be withdrawn. **NOTE: if a change in officer, owner, or location occurs while the completed application is under review by TSBP, the application will be cancelled and a new application packet, including application fee, must be submitted.**

## CHECKLIST FOR FILING D PHARMACY LICENSE APPLICATION

- 1. Application Form (LIC-001 see form attached below)
- 2. Ownership Form
  - Partnership or individual (form # [LIC-006](#)), **OR**
  - Corporation or Limited Liability Company (form # [LIC-007](#)), **OR**
  - Government owned (form # [LIC-008](#))
- 3. Lease Agreement/Property Ownership

Copy of lease agreement between the owner of the pharmacy and the owner of the building in which the pharmacy is located. In cases where the real property is owned by the pharmacy license holder, a notarized statement to that effect signed by the owner, must be submitted (form # [LIC-004](#)). (Form LIC-004 is NOT considered a lease agreement)
- 4. New Pharmacy Checklist, (form # [LIC-018](#)). The form lists the minimum infrastructure requirements needed to apply for a new pharmacy license and must be submitted with a New Pharmacy Application.
- 5. Attach a copy of the Pharmacy's Policy and Procedure Manual which must include the clinic drug formulary. (Note: If you are applying for permission to maintain an expanded formulary or to use an alternative visitation schedule, see [Board Rule 291.93](#)).

# TEXAS PHARMACY LICENSE APPLICATION (Class A, B, C, D)

Please type or print.

FOR TSBP USE ONLY						
License No.	Amount	Receipt No.	Entity No.			
<b>1 Pharmacy Name &amp; Physical Location Address (Street, City, State, ZIP)</b>     Pharmacy Tel: _____						
<b>2 Physical Location above also the Mailing Address?</b> <input type="checkbox"/> YES <input type="checkbox"/> NO If no, provide a mailing address (Street, City, State, ZIP)    						
<b>4 Class of Pharmacy (check one)</b> <input type="checkbox"/> A Community <input type="checkbox"/> B Nuclear <input type="checkbox"/> C Institutional (Hospital) <input type="checkbox"/> D Clinic		<b>5 Type of Ownership (check one)</b> <input type="checkbox"/> 1 Corporation <input type="checkbox"/> 4 Partnership <input type="checkbox"/> 2 Government <input type="checkbox"/> 5 Other (specify) <input type="checkbox"/> 3 Individual    _____				
<b>6 Pharmacy License Fee—</b> <span style="float: right;">\$ 535.00</span> # of Pharmacy Balances _____ x \$25.00 \$ _____  <p style="text-align: right;"><b>TOTAL DUE</b></p> <p style="text-align: right;">\$ _____</p>						
<b>7 Services (check ALL that apply)</b> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> 1 Nuclear  <input type="checkbox"/> 2 Out-Patient Sterile Products (Hospital)  <input type="checkbox"/> 3 Out-Patient/Discharge Prescriptions  <input type="checkbox"/> 4 Mail Service  <input type="checkbox"/> 5 Long Term Care  <input type="checkbox"/> 6 Class D (Expanded Formulary)                             </td> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> 7 Class D (Alternative Visit Schedule)  <input type="checkbox"/> 8 Compounding Sterile, Risk Level LOW  <input type="checkbox"/> 9 Compounding Sterile, Risk Level MED.  <input type="checkbox"/> 10 Compounding Sterile, Risk Level HIGH  <input type="checkbox"/> 11 Compounding, Non-Sterile                             </td> </tr> </table>					<input type="checkbox"/> 1 Nuclear <input type="checkbox"/> 2 Out-Patient Sterile Products (Hospital) <input type="checkbox"/> 3 Out-Patient/Discharge Prescriptions <input type="checkbox"/> 4 Mail Service <input type="checkbox"/> 5 Long Term Care <input type="checkbox"/> 6 Class D (Expanded Formulary)	<input type="checkbox"/> 7 Class D (Alternative Visit Schedule) <input type="checkbox"/> 8 Compounding Sterile, Risk Level LOW <input type="checkbox"/> 9 Compounding Sterile, Risk Level MED. <input type="checkbox"/> 10 Compounding Sterile, Risk Level HIGH <input type="checkbox"/> 11 Compounding, Non-Sterile
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<b>8 Type of Pharmacy (check one)</b> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> 1 Community (Independent)  <input type="checkbox"/> 2 Community (Multiple/Chain ≥ 5)  <input type="checkbox"/> 3 Hospital (Independent)  <input type="checkbox"/> 4 Hospital (Multiple/Chain ≥ 5)  <input type="checkbox"/> 5 Ambulatory Surgical Center                             </td> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> 6 HMO  <input type="checkbox"/> 7 Public Health  <input type="checkbox"/> 8 Mail Service  <input type="checkbox"/> 9 Internet Pharmacy  <input type="checkbox"/> 10 Other (Specify)                             </td> </tr> </table>					<input type="checkbox"/> 1 Community (Independent) <input type="checkbox"/> 2 Community (Multiple/Chain ≥ 5) <input type="checkbox"/> 3 Hospital (Independent) <input type="checkbox"/> 4 Hospital (Multiple/Chain ≥ 5) <input type="checkbox"/> 5 Ambulatory Surgical Center	<input type="checkbox"/> 6 HMO <input type="checkbox"/> 7 Public Health <input type="checkbox"/> 8 Mail Service <input type="checkbox"/> 9 Internet Pharmacy <input type="checkbox"/> 10 Other (Specify)
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<b>9 Pharmacist-in-Charge</b> _____ <b>License #</b> _____ (Print or type)			<b>11 Anticipated Date of Opening and Hours of Operation:</b> a. _____ b. <b>Description of Services Offered (or attach a copy of your business plan):</b>   			
<b>10</b> By my signature, I acknowledge I am the pharmacist-in-charge of this pharmacy and attest that I have read and understand the laws and rules relating to this class of pharmacy. THIS SIGNATURE MUST BE NOTARIZED  _____ Date _____ Signature of Pharmacist-in-Charge						
Subscribed and sworn to before me this day of _____, 20____						
Notary Public _____						
<b>12 Other Pharmacists and Registered Technicians</b> <span style="float: right;"><b>License # or Registration #</b></span>  _____ _____ _____ _____						

## CLASS B, CLASS C, OR CLASS D PHARMACY LICENSE

**13** Complete the following, if applicable.

### Nuclear (Class B) Pharmacy

- (a) Texas Department of Health Radiation Control No. \_\_\_\_\_
- (b) Attach: (1) Detailed copy of the floor plan for the Class B Pharmacy; and  
 (2) Qualifications of the authorized nuclear pharmacist who is the pharmacist-in-charge.

### Institutional (Class C) Pharmacy

- (a) Enter the Applicable Texas License Number in the space provided:  
 DSHS Hospital License No# \_\_\_\_\_ DSHS Ambulatory Surgical Center License No# \_\_\_\_\_  
 DSHS Inpatient Hospice License No# \_\_\_\_\_
- (b) Is the facility an inpatient hospital maintained/operated by the State of Texas? \_\_\_\_\_
- (c) Is the pharmacy owned/operated by a hospital management or hospital pharmacy management firm? \_\_\_\_\_  
 If YES, provide the name of the firm here: \_\_\_\_\_ and attach a copy of the service agreement.

### Clinic (Class D) Pharmacy

- (a) Name and Texas License of the staff physician: \_\_\_\_\_
- (b) Attach a copy of the Pharmacy's Policy and Procedure Manual, which must include the clinic drug formulary. (Note: If you are applying for permission to maintain an expanded formulary or to use an alternative visitation schedule, see Board Rule 291.93.)

**14 ALL APPLICANTS MUST ANSWER THE FOLLOWING QUESTIONS:**

1. Has the pharmacy, the pharmacy's owner or any officer or partner (if the pharmacy is owned by a corporation or partnership) been the subject of any professional disciplinary action or are any such actions pending against you by a regulatory authority? (Examples: surrender, revocation, reinstatement, suspension, fine, probation, restriction). Include such information for all states, including Texas, and for all regulated professions.  YES\*  NO

**\*If you answered "yes" to Question #1, include the name of the Board, licensing or disciplinary authority and the date of the Order, and, if applicable, the date of the termination of the condition and/or probation. Response must include the name of the person who was the subject of the disciplinary action.**

2. For any criminal offense, including those pending appeal, has the pharmacy, the pharmacy's owner or any officer or partner (if the pharmacy is owned by a corporation or partnership):
- |  |                               |                             |
|--|-------------------------------|-----------------------------|
| A. been arrested?                                    | <input type="checkbox"/> YES* | <input type="checkbox"/> NO |
| B. been charged with a crime but not arrested?       | <input type="checkbox"/> YES* | <input type="checkbox"/> NO |
| C. pled nolo contendere?                             | <input type="checkbox"/> YES* | <input type="checkbox"/> NO |
| D. pled guilty?                                      | <input type="checkbox"/> YES* | <input type="checkbox"/> NO |
| E. received deferred adjudication for a misdemeanor? | <input type="checkbox"/> YES* | <input type="checkbox"/> NO |
| F. received deferred adjudication for a felony?      | <input type="checkbox"/> YES* | <input type="checkbox"/> NO |
| G. been convicted of a misdemeanor?                  | <input type="checkbox"/> YES* | <input type="checkbox"/> NO |
| H. been convicted of a felony?                       | <input type="checkbox"/> YES* | <input type="checkbox"/> NO |

**In answering Questions #2A-H, include all offenses even those for which you were subject to deferred adjudication. (Examples: assault, theft, possession of controlled substances, public intoxication, DWI, driving under the influence of drugs.) Response must include the name of the person who was the subject of the disciplinary action.**

3. Has the pharmacy, the pharmacy's owner or any officer or partner (if the pharmacy is owned by a corporation or partnership) been subject to a court ordered probation or confinement as related to any offense?  YES\*  NO

4. Has the pharmacy, the pharmacy's owner or any officer or partner (if the pharmacy is owned by a corporation or partnership) served time in prison for any offense?  YES\*  NO

5. Has the pharmacy, the pharmacy's owner or any officer or partner (if the pharmacy is owned by a corporation or partnership) been convicted of a drug or alcohol related offense, or been subject to a deferred adjudication for this offense? (Examples: possession of controlled substances, public intoxication, DWI, driving under the influence of drugs.)  YES\*  NO

**\*If you answered "yes" to Questions #3-5, include the name and location of the court, the offense charged, a brief explanation of the offense, the date of action, and, if applicable, the date that probation or confinement ended. Response must include the name of the person who was the subject of the disciplinary action.**

6. Is the pharmacy's owner or any other officer or partner a registered sex offender in Texas or in any other State?  YES\*  NO  
 If you answered "yes", include the name of the person who is registered.

7. Are the customer service areas of the Pharmacy accessible to disabled persons, as defined by federal law?  YES  NO

8. Does the pharmacy provide translating services for customers, including translating services for a person with impairment of hearing?  YES  NO  
 If yes, what type of translating services does the pharmacy provide? (check all that apply):
- |                                       |  |   |  |
|---------------------------------------|--|---|--|
| <input type="checkbox"/> 1 Spanish    | <input type="checkbox"/> 3 Telecommunication Device for the Deaf (TDD) | <input type="checkbox"/> 5 AT&T Translating Service |  |
| <input type="checkbox"/> 2 Vietnamese | <input type="checkbox"/> 4 American Sign Language                      | <input type="checkbox"/> 6 Other _____              |  |

9. Does this pharmacy participate in the Texas Medicaid program?  YES  NO

10.	Does this pharmacy participate in the Texas State Kids Insurance Program (SKIP)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
11.	Does this pharmacy dispense a prescription drug or device under a prescription drug order in response to a request received by the way of the internet to dispense the drug or device?	<input type="checkbox"/> YES <input type="checkbox"/> NO
12.	If the response to the previous question was "yes", does your pharmacy deliver the drug or device to a patient in this state by US mail, common carrier, or delivery services?	<input type="checkbox"/> YES <input type="checkbox"/> NO
<b>15</b>	<p>ATTEST: I hereby attest that the foregoing statements, on this form or those on any attachment(s) to this form are to the best of my knowledge true and correct and that they are all given of my free will. I agree that any misstatement(s) or omission(s) as to material facts will constitute violation of and subject me to the penalties set forth in the Texas Pharmacy Act. I agree to comply with the Texas Pharmacy Act and Rules.</p> <p><b><i>THIS SIGNATURE MUST BE NOTARIZED:</i></b></p>	
<hr style="border: none; border-top: 1px solid black;"/> <p>Signature of Owner / Managing Officer</p>	<hr style="border: none; border-top: 1px solid black;"/> <p>Date</p>	<p>Subscribed and sworn to before me this _____ day of _____, 20_____</p>
<hr style="border: none; border-top: 1px solid black;"/> <p>Owner / Managing Officer's Name (Type or Print)</p>	<hr style="border: none; border-top: 1px solid black;"/> <p>Notary Public</p>	